

10/537611

JCO Rec'd PCT/PTO 03 JUN 2005

PATENT APPLICATION
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q88048

Masaru KURAMOTO, et al.

Appln. No.: Not Assigned

Confirmation No.: Not Assigned

Group Art Unit: Not Assigned

Filed: June 03, 2005

Examiner: Not Assigned

For: NITRIDE SEMICONDUCTOR SUBSTRATE AND NITRIDE SEMICONDUCTOR
DEVICE EMPLOYING SAME

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

1. Japanese Patent Application No. 2000-058981, published February 25, 2000 with English Abstract.

2. Japanese Patent Application No. 2002-009004, published January 11, 2002; with English Abstract.

3. Japanese Patent Application No. 2002-075871, published March 15, 2002; with English Abstract.

INFORMATION DISCLOSURE STATEMENT
National Stage Entry of PCT/JP2004/012467

4. Japanese Patent Application No. 2000-058461, published February 25, 2000; with English Abstract.

5. Japanese Patent Application No. 11-251253, published September 17, 1999; with English Abstract.

6. T. Sugahara et al. "Role of Dislocation in InGaN Phase Separation", Japan Journal of Applied Physics, Vol. 37 (1998) pp. L1195-L1198.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

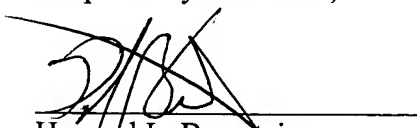
In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of the International Search Report which cites references number 1-4 above. References 5 and 6 listed above are discussed in the Specification beginning on Page 1, Line 11.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

INFORMATION DISCLOSURE STATEMENT
National Stage Entry of PCT/JP2004/012467

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,


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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: June 3, 2005

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MODIFIED PTO/SB/08 A & B (06-03)

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Complete if Known

Substitute for Form 1449 A & B/P1

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

1

of

1

Application Number

Confirmation Number

Filing Date

June 03, 2005

First Named Inventor

Masaru KURAMOTO

Art Unit

Examiner Name

Attorney Docket Number

Q88048

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication ² Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
		US			
		US			
		US			
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		US			
		US			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			
		JP	2000-058981	A	02-25-2000	NEC Corporation	English Abstract
		JP	2002-009004	A	01-11-2002	Matsushita Electric Industrial Co., Ltd.	English Abstract
		JP	2002-075871	A	03-15-2002	Matsushita Electric Industrial Co., Ltd.	English Abstract
		JP	2000-058461	A	02-25-2000	NEC Corporation	English Abstract
		JP	11-251253	A	09-17-1999	Nichia Chemical Industries, Ltd.	English Abstract

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶
		T. Sugahara et al. "Role of Dislocation in InGa _N Phase Separation", Japan Journal of Applied Physics, Vol. 37 (1998) pp. L1195-L1198	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to indicate here if English language Translation is attached.